

APPENDIX C – Recognized Exchanges ¹⁸

Country Name	Country Code	Exchange Name	Exchange Code	Corruption Score
Austria, Republic of	AT	Wiener Borse (including Vienna Stock Exchange)	VNA	77
Australia, Commonwealth	AU	Australian Securities Exchange	ASX	77
Belgium, Kingdom of	BE	Euronext Brussels (formerly Bourse de Bruxelles)	BSE	75
Canada	CA	Alberta Stock Exchange	ASE	77
Canada	CA	Canadian National Stock Exchange (CNSX)	CSE/CNSX	77
Canada	CA	Toronto Stock Exchange	TSX	77
Canada	CA	the Montreal Stock Exchange (now Montreal Exchange)	MX	77
Germany (Deutschland)	DE	Deutsche Börse (including Frankfurt Stock Exchange)	FRA	80
Denmark, Kingdom of	DK	Copenhagen Stock Exchange [NASDAQ OMX]	COP	80
Finland, Republic of	FI	Helsinki Stock Exchange [NASDAQ OMX]	OMXH25	86
France (French Republic)	FR	Euronext Paris (Formerly Bourse De Paris)	PAR	69
United Kingdom of Great Britain and Northern Ireland	GB	London Stock Exchange	LSE	77
Hong Kong (Special Administrative Region)	HK	Stock Exchange of Hong Kong Limited	HKEx	76
Ireland (Eire)	IE	Irish Stock Exchange	IR	74
Iceland, Republic of	IS	Iceland Stock Exchange [NASDAQ OMX]	OMXI8	78
Japan (Nippon-koku)	JP	Tokyo Stock Exchange	TSE/TYO	73

¹⁸This list of recognized exchanges is comprised of exchanges which are: (1) Certain U.S. security exchanges; and (2) U.S. SEC designated offshore securities markets under Rule 902 in countries with a Transparency International Corruption Perception Index rating of greater than or equal to 66.

Luxembourg, Grand Duchy of	LU	Bourse de Luxembourg	LUXX	80
Netherlands, Kingdom of the	NL	Euronext Amsterdam	AEX	82
Norway, Kingdom of	NO	Oslo Stock Exchange	OBX/OSEAX	84
Singapore, Republic of	SG	Stock Exchange of Singapore Limited	SGX	85
Switzerland (Swiss Confederation)	CH	SIX Swiss Exchange (formerly the SWX Swiss Exchange/Zurich Stock Exchange)	SMI, SPI, SLI, SBI, SARON	85
Sweden, Kingdom of	SE	Stockholm Stock Exchange [NASDAQ OMX]	OMXS30	85
Sweden, Kingdom of	SE	the Helsinki Stock Exchange (Now NASDAQ Helsinki)	OMXH25	
United States of America	US	Chicago Board Options Exchange	CBOE	69
United States of America	US	NASDAQ Stock Market [NASDAQ OMX] (National Association of Securities Dealers Automated Quotations)	NDAQ	69
United States of America	US	New York Stock Exchange	NYSE	69
United States of America	US	Philadelphia Stock Exchange [NASDAQ OMX] known as NASDAQ OMX PHLX	NASDAQ OMX PHLX	69
United States of America	US	Boston Stock Exchange [NASDAQ OMX] known as NASDAQ OMX BX	NASDAQ OMX BX	69
Multiple Countries In Europe		Eurobond Market (as regulated by the International Securities Market Association)	EUBOND	
Multiple Countries In Europe		European Association of Securities Dealers Automated Quotation	EASDAQ	